

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As below named inventors, we hereby declare that:

Our residence, post office address and citizenship are as stated below under our names.

We believe that we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled

PURIFIED STAT PROTEINS AND METHODS OF PURIFYING THEREOF

the Specification of which

☐ is attached hereto
☒ was filed on October 15, 1997
as Application Serial No. 08/951,130
and was amended on _____ (if applicable).

We hereby state that we have reviewed and understand the contents of the above-identified Specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, 1.56(a).

We hereby claim foreign priority benefits under Title 35, United States Code, §119 of any provisional application filed in the United States in accordance with 35 U.S.C. §1.119(e), or any application for patent that has been converted to a Provisional Application within one (1) year of its filing date, or any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

| <u>APPLICATION</u> <u>NUMBER</u> | <u>COUNTRY</u> | <u>PRIOR FILED APPLICATION(S)</u> <u>(DAY/MONTH/YEAR FILED)</u> | <u>PRIORITY</u> <u>CLAIMED</u> |
|-------------------------------------|----------------|--|-----------------------------------|
| 60/028,176 | United States | 15 October 1996 | Yes |

We hereby claim the benefit under Title 35, United States Code, §120 of any United States application listed below, and, insofar as the subject matter of each of the claims of this application is not disclosed in any prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a), which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

| | | |
|--------------------------|---------------------------------|--|
| APPLICATION NO. _____ | FILING DATE (DAY/MONTH/YEAR) | STATUS - PATENTED, PENDING, ABANDONED _____ |
| None | | |

We hereby appoint as our attorneys or agents the following persons: Jack Matalon, (Attorney, Registration No. 22,441); Stefan J. Klauber (Attorney, Registration No. 22,604); David A. Jackson (Attorney, Registration No. 26,742); Michael D. Davis (Attorney, Registration No. 39,161); Joseph M. Homa (Attorney, Registration No. 40,023); and Christine E. Dietzel (Agent, Registration No. 37,309), said attorneys or agents with full power of substitution and revocation to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

Please address all correspondence regarding this application to:

DAVID A. JACKSON, ESQ.
KLAUBER & JACKSON
411 HACKENSACK AVENUE
HACKENSACK, NEW JERSEY 07601

Direct all telephone calls to David A. Jackson at (201) 487-5800.

We hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

| | |
|------------------------------------|---|
| FULL NAME OF FIRST JOINT INVENTOR: | <u>UWE VINKEMEIER</u> |
| COUNTRY OF CITIZENSHIP: | Germany |
| FULL RESIDENCE ADDRESS: | 504 E. 63rd Street, Apt. 8P New York, New York 10021 |
| FULL POST OFFICE ADDRESS: | 504 E. 63rd Street, Apt. 8P New York, New York 10021 |

SIGNATURE OF INVENTOR *Uwe Vinkemeier*

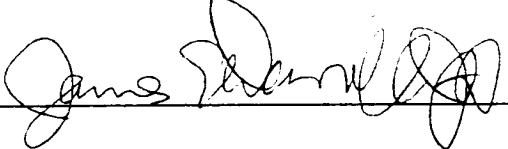
DATE 2/13/99

FULL NAME OF SECOND JOINT INVENTOR: JAMES E. DARNELL, JR.

COUNTRY OF CITIZENSHIP: United States

FULL RESIDENCE ADDRESS: 22 Chestnut Avenue
Larchmont, New York 10538

FULL POST OFFICE ADDRESS: 22 Chestnut Avenue
Larchmont, New York 10538

SIGNATURE OF INVENTOR 

DATE 2/9/98

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As below named inventors, we hereby declare that:

Our residence, post office address and citizenship are as stated below under our names.

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- ☒ is attached hereto
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APPLICATION
NO. _____

None

FILING DATE
(DAY/MONTH/YEAR)

STATUS - PATENTED, PENDING,
ABANDONED

We hereby appoint as our attorneys or agents the following persons: Jack Matalon, (Attorney, Registration No. 22,441); Stefan J. Klauber (Attorney, Registration No. 22,604); David A. Jackson (Attorney, Registration No. 26,742); Barbara L. Renda (Attorney, Registration No. 27,626); Michael D. Davis (Attorney, Registration No. 39,161); and Joseph M. Homa (Attorney, Registration No. 40,023), said attorneys or agents with full power of substitution and revocation to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

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FULL NAME OF FIRST JOINT INVENTOR: UWE VINKEMEIER

COUNTRY OF CITIZENSHIP: Germany

FULL RESIDENCE ADDRESS: 504 E. 63rd Street, Apt. 8P
New York, New York 10021

FULL POST OFFICE ADDRESS: 504 E. 63rd Street, Apt. 8P
New York, New York 10021

SIGNATURE OF INVENTOR _____

DATE _____

FULL NAME OF SECOND JOINT INVENTOR: JAMES E. DARNELL, JR.

COUNTRY OF CITIZENSHIP: United States

FULL RESIDENCE ADDRESS: 22 Chestnut Avenue
Larchmont, New York 10538

FULL POST OFFICE ADDRESS: 22 Chestnut Avenue
Larchmont, New York 10538

SIGNATURE OF INVENTOR _____

DATE _____



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

MAY 01, 1998

PTAS
KLAUBER & JACKSON
DAVID A. JACKSON, ESQ.
411 HACKENSACK AVENUE, 4TH FLOOR - 1000
HACKENSACK, NJ 07601

KLAUBER & JACKSON



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JC525 U.S. PTO
09/430806
11/02/99

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/23/1998

REEL/FRAME: 9007/0866
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

VINKEMEIER, UWE

DOC DATE: 02/09/1998

ASSIGNOR:

DARNELL, JAMES E. JR.

DOC DATE: 02/09/1998

ASSIGNEE:

ROCKEFELLER UNIVERSITY, THE
1230 YORK AVENUE
NEW YORK, NEW YORK 10021-6399

SERIAL NUMBER: 08951130
PATENT NUMBER:

FILING DATE: 10/15/1997
ISSUE DATE:

SHIRLIE SIMON, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

ASSIGNMENT

WHEREAS, WE,

UWE VINKEMEIER, a citizen of Germany, residing at
504 E. 63rd Street, Apt. 8P
New York, New York 10021; and

JAMES E. DARNELL, JR., a citizen of the United States, residing at
22 Chestnut Avenue
Larchmont, New York 10538;

have invented certain new and useful improvements in

PURIFIED STAT PROTEINS AND METHODS OF PURIFYING THEREOF

for which we have filed an Application for Letters Patent in the United States on October 15, 1997, and was accorded U.S. Serial No. 08/951,130; and

WHEREAS, THE ROCKEFELLER UNIVERSITY,
an education corporation organized and existing under
the laws of the State of New York,
with office at 1230 York Avenue, New York, New York 10021-6399,

ASSIGNEE, is desirous of obtaining the entire right, title and interest in, to and under the said improvements and the said application;

NOW, THEREFORE, for other good and valuable consideration, the receipt of which is hereby acknowledged, we the said

UWE VINKEMEIER AND JAMES E. DARNELL, JR.

have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, to the said

THE ROCKEFELLER UNIVERSITY,

its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said improvements, and the said application and all divisions, renewals, and continuations thereof, and all Letters Patent of the United States which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, including the right to claim priority under the terms of any appropriate International Convention based upon said application for Letters Patent of the United States, and all Letters Patent which may be granted from said improvements in any country or countries foreign to the United States and extensions, renewals and reissues thereof; and we

hereby authorize and request the Commissioner of Patents and Trademarks of the United States and any official of any country or countries foreign to the United States, whose duty is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said

THE ROCKEFELLER UNIVERSITY,

its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant that we have full right to convey the interest herein assigned in the manner hereinabove set forth, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said

THE ROCKEFELLER UNIVERSITY,

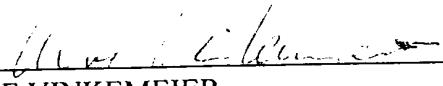
its successors, legal representatives and assigns, any fact known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisions, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said

THE ROCKEFELLER UNIVERSITY,

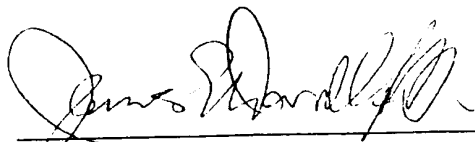
its successors, legal representatives and assigns, to obtain and enforce proper Patent Protection for said improvements in the United States.

IN TESTIMONY WHEREOF, we hereunto set our hand and seal the day and year set opposite our signatures.

Date 2/9/98, 19


UWE VINKEMEIER L.S.

Date 2/9/98, 19


JAMES E. DARNELL, JR. L.S.

MRD 2-23-98

COPY

03-09-1998

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581/40

FORM PTO-1595
(Rev. 6/93)



100657876

FEB 23 1998

U.S. DEPARTMENT OF COMMERCE
RECEIPT ACTING DIV.
COVER SHEET

Patent and Trademark Office

JLY

Attorney Docket No. 600-1-182N

To the Assistant Commissioner for Patents. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Uwe Vinkemeier and James E. Darnell, Jr.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name

Other: _____

Execution Date: February 9, 1998

2. Name and address of receiving party(ies):

Name: THE ROCKEFELLER UNIVERSITY

Address: 1230 York Avenue

New York, New York 10021-6399

Additional name(s) & address(es) attached? ☐ Yes ☒ No

U.S. PTO
09/430806
11/82/99

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

08/951,130

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: David A. Jackson, Esq.

Address: KLAUBER & JACKSON

411 Hackensack Avenue, 4th Floor

Hackensack, New Jersey 07601

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

☒ Enclosed

☐ Authorized to be charged to deposit account, if necessary - for overages or underpayments only

8. Deposit account number:

11-1153

(Attach duplicate copy of this page if paying by deposit account)

03/06/1998 JSN0927 00000216 08951130
01 FG:581 40.00 DP

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Michael D. Davis, Reg. No. 39,161
Name of Person Signing

Michael D. Davis
Signature

2/13/98
Date

Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:

Assistant Commissioner For Patents
Box Assignments
Washington, D.C. 20231